

EXHIBIT C-1



Search results

Registered name : Crédit suisse (Luxembourg) S.A.

REGAFI identifier : 58970

Company description

Registered name : Crédit suisse (Luxembourg) S.A.

SIREN : 808392104

Bank code (CIB) : 18169

Status : Branch

Credit institution

Authorisation type : European passport (in)

Date of authorisation/registration : 06/02/2015

Branch address : 86 Boulevard Haussmann CS 40047

Post code : 75008

City : PARIS

Country : FRANCE

Phone : 0170390050

Head office address : 5 rue Jean Monnet

City : L-2180 LUXEMBOURG

Country : LUXEMBOURG

LEI : 5493003TIY721MBZZK78

Name of the supervisory authority of the head office : Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector)

Website : <http://www.cssf.lu/en/>

Register : <https://supervisedentities.apps.cssf.lu/>

Country : LUXEMBOURG

Activities based in France

Activities provided by the branch are ticked.

Activities that the institution is authorised to provide on a cross-border basis

<input checked="" type="checkbox"/>	1 Acceptance of deposits and other repayable funds from the public
<input checked="" type="checkbox"/>	2 Lending
<input checked="" type="checkbox"/>	3 Financial leasing
<input checked="" type="checkbox"/>	4 Payment services as defined in Article 4(3) of Directive 2007/64/EC
<input checked="" type="checkbox"/>	a) Service enabling cash to be placed on a payment account as well as all the operations required for operating a payment account

<input checked="" type="checkbox"/>	b) Service enabling cash withdrawals from a payment account as well as the operations required for operating a payment account
<input checked="" type="checkbox"/>	c) Execution of payment transactions, including transfers of funds on a payment account with the user's payment service provider or with another PSP : execution of direct debits, including one-off direct debits ; execution of payment transactions through a payment card or a similar device ; execution of credit transfers, including standing orders
<input checked="" type="checkbox"/>	d) Execution of payment transactions where the funds are covered by a credit line for a payment service user : execution of direct debits, including one-off direct debits ; execution of payment transactions through a payment card or a similar device ; execution of credit transfers, including standing orders
<input checked="" type="checkbox"/>	e) Issuing and/or acquiring of payment instruments
<input checked="" type="checkbox"/>	f) Money remittance
<input checked="" type="checkbox"/>	g) Execution of payment transactions where the consent of the payer to execute a payment transaction is given by means of any telecommunication, digital or IT device and the payment is made to the telecommunication, IT system or network operator, acting only as an intermediary between the payment service user and the supplier of the goods and services
<input checked="" type="checkbox"/>	5 Issuing and administering means of payment (eg credit cards, traveller's cheques and banker' drafts)
<input checked="" type="checkbox"/>	6 Guarantees and commitments
<input checked="" type="checkbox"/>	7 Trading for own account or for account of customers in:
<input checked="" type="checkbox"/>	a) Money market instruments
<input checked="" type="checkbox"/>	b) Foreign exchange
<input checked="" type="checkbox"/>	c) Financial futures and options
<input checked="" type="checkbox"/>	d) Exchange and interest rate instruments
<input checked="" type="checkbox"/>	e) Transferable securities
<input checked="" type="checkbox"/>	8 Participation in share issues and the provision of services relating to such issues
<input checked="" type="checkbox"/>	9 Advice to undertakings on capital structure, industrial strategy and related questions and advice as well as services relating to mergers and the purchase of undertakings
<input checked="" type="checkbox"/>	10 Money broking
<input checked="" type="checkbox"/>	11 Portfolio management and advice
<input checked="" type="checkbox"/>	12 Safekeeping and administration of securities
<input checked="" type="checkbox"/>	13 Credit reference services
<input checked="" type="checkbox"/>	14 Safe custody services
<input checked="" type="checkbox"/>	15 Issuance of electronic money

Investment services

C- Financial instruments	A - Investment activities and services									B - Auxillary services						
	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
3	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
4	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
5	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
6	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
7	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
8																

	✓	✓		✓	✓	✓	✓		✓	✓	✓	✓	✓	✓	✓	Pg 4 of 10
9	✓	✓		✓	✓	✓	✓		✓	✓	✓	✓	✓	✓	✓	
10	✓	✓		✓	✓	✓	✓		✓	✓	✓	✓	✓	✓	✓	
11																

Legend

A - Investment activities and services																
1	Reception and transmission of orders in relation to one or more financial instruments.															
2	Execution of orders on behalf of clients															
3	Dealing on own account															
4	Portfolio management															
5	Investment advice															
6	Underwriting of financial instruments and/or placing of financial instruments on a firm commitment basis															
7	Placing of financial instruments without a firm commitment basis															
8	Operation of Multilateral Trading Facilities (MTF)															
9	Operation of Organised Trading Facilities (OTF)															
B - Auxillary services																
1	Safekeeping and administration of financial instruments for the account of clients, including custodianship and related services such as cash/collateral management															
2	Granting credits or loans to an investor to allow him to carry out a transaction in one or more financial instruments, where the firm granting the credit or loan is involved in the transaction															
3	Advice to undertakings on capital structure, industrial strategy and related matters and advice and services relating to mergers and the purchase of undertakings															
4	Foreign exchange services where these are connected to the provision of investment services															
5	Investment research and financial analysis or other forms of general recommendation relating to transactions in financial instruments															
6	Services related to underwriting															
7	Investment services and activities as well as ancillary services of the type included under Section A or B of Annex 1 related to the underlying of the derivatives included under Section C § 5, 6, 7 and 10 - where these are connected to the provision of investment or ancillary services															
C - Financial instruments																
1	C1 - Transferable securities (within the meaning of MiFID II) (equity securities and debt securities other than MMI)															
2	C2 - Money-market instruments (MMI) (within the meaning of point (17) of Article 4 (1) of MiFID II)															
3	C3 - Units in collective investment undertakings (CIU)															
4	C4 - Financial contracts on financial underlyings that may be settled physically or in cash (see point C4 of Annex I of MiFID II)															
5	C5 - Financial contracts on commodities that must be settled in cash or may be settled in cash at the option of one of the parties (see point C5 of Annex I of MiFID II)															
6	C6 - Financial contracts on commodities that can be physically settled and traded on a regulated market, a MTF or an OTF (see point C6 of Annex I of MiFID II)															
7	C7 - Financial contracts on commodities that can be physically settled not otherwise mentioned in C6 and not being for commercial purposes (see point C7 of Annex I of MiFID II)															
8	C8 - Derivative instruments for the transfer of credit risk															
9	C9 - Financial contracts for differences															
10	C10 - Financial contracts on non-physical underlyings or not otherwise mentioned above (see point C10 of Annex I of MiFID II)															
11	C11 - Emission allowances (see point C11 of Annex I of MiFID II)															

Last updated : 18 March 2020

EXHIBIT C-2



Search results

Registered name : Natixis wealth management luxembourg
REGAFI identifier : 9778

Company description

Registered name : [Natixis wealth management luxembourg](#)

Status : [Cross border services](#)

Credit institution

Authorisation type : [European passport \(in\)](#)

Date of authorisation/registration : [02/06/1995](#)

Head office address : [51 av J.F. Kennedy - L-1855](#)

City : [LUXEMBOURG](#)

Country : [LUXEMBOURG](#)

Name of the supervisory authority of the head office : [Commission de Surveillance du Secteur Financier \(Commission for the Supervision of Financial Sector\)](#)

Website : <http://www.cssf.lu/en/>

Register : <https://supervisedentities.apps.cssf.lu/>

Country : [LUXEMBOURG](#)

Activities based in France

Activities provided on a cross-border basis are ticked.

Activities that the institution is authorised to provide on a cross-border basis

<input checked="" type="checkbox"/>	1 Acceptance of deposits and other repayable funds from the public
<input checked="" type="checkbox"/>	2 Lending
	3 Financial leasing
<input checked="" type="checkbox"/>	4 Payment services as defined in Article 4(3) of Directive 2007/64/EC
<input checked="" type="checkbox"/>	a) Service enabling cash to be placed on a payment account as well as all the operations required for operating a payment account
<input checked="" type="checkbox"/>	b) Service enabling cash withdrawals from a payment account as well as the operations required for operating a payment account
<input checked="" type="checkbox"/>	c) Execution of payment transactions, including transfers of funds on a payment account with the user's payment service provider or with another PSP : execution of direct debits, including one-off direct debits ; execution of payment transactions through a payment card or a similar device ; execution of credit transfers, including standing orders
<input checked="" type="checkbox"/>	d)

		Execution of payment transactions where the funds are covered by a credit line for a payment service user : execution of direct debits, including one-off direct debits ; execution of payment transactions through a payment card or a similar device ; execution of credit transfers, including standing orders
<input checked="" type="checkbox"/>	e)	Issuing and/or acquiring of payment instruments
<input checked="" type="checkbox"/>	f)	Money remittance
<input checked="" type="checkbox"/>	g)	Execution of payment transactions where the consent of the payer to execute a payment transaction is given by means of any telecommunication, digital or IT device and the payment is made to the telecommunication, IT system or network operator, acting only as an intermediary between the payment service user and the supplier of the goods and services
<input checked="" type="checkbox"/>	5	Issuing and administering means of payment (eg credit cards, traveller's cheques and banker' drafts)
<input checked="" type="checkbox"/>	6	Guarantees and commitments
	7	Trading for own account or for account of customers in:
<input checked="" type="checkbox"/>	a)	Money market instruments
<input checked="" type="checkbox"/>	b)	Foreign exchange
<input checked="" type="checkbox"/>	c)	Financial futures and options
<input checked="" type="checkbox"/>	d)	Exchange and interest rate instruments
<input checked="" type="checkbox"/>	e)	Transferable securities
<input checked="" type="checkbox"/>	8	Participation in share issues and the provision of services relating to such issues
<input checked="" type="checkbox"/>	9	Advice to undertakings on capital structure, industrial strategy and related questions and advice as well as services relating to mergers and the purchase of undertakings
<input checked="" type="checkbox"/>	10	Money broking
<input checked="" type="checkbox"/>	11	Portfolio management and advice
<input checked="" type="checkbox"/>	12	Safekeeping and administration of securities
<input checked="" type="checkbox"/>	13	Credit reference services
<input checked="" type="checkbox"/>	14	Safe custody services
<input checked="" type="checkbox"/>	15	Issuance of electronic money

Investment services

	A - Investment activities and services									B - Auxillary services						
	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
3	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
4	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
5	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
6	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						
7	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>						

8	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
9	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
10	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
11											

Legend**A - Investment activities and services**

- 1 Reception and transmission of orders in relation to one or more financial instruments.
- 2 Execution of orders on behalf of clients
- 3 Dealing on own account
- 4 Portfolio management
- 5 Investment advice
- 6 Underwriting of financial instruments and/or placing of financial instruments on a firm commitment basis
- 7 Placing of financial instruments without a firm commitment basis
- 8 Operation of Multilateral Trading Facilities (MTF)
- 9 Operation of Organised Trading Facilities (OTF)

B - Auxillary services

- 1 Safekeeping and administration of financial instruments for the account of clients, including custodianship and related services such as cash/collateral management
- 2 Granting credits or loans to an investor to allow him to carry out a transaction in one or more financial instruments, where the firm granting the credit or loan is involved in the transaction
- 3 Advice to undertakings on capital structure, industrial strategy and related matters and advice and services relating to mergers and the purchase of undertakings
- 4 Foreign exchange services where these are connected to the provision of investment services
- 5 Investment research and financial analysis or other forms of general recommendation relating to transactions in financial instruments
- 6 Services related to underwriting
- 7 Investment services and activities as well as ancillary services of the type included under Section A or B of Annex 1 related to the underlying of the derivatives included under Section C & 5, 6, 7 and 10 - where these are connected to the provision of investment or ancillary services

C - Financial instruments

- 1 C1 - Transferable securities (within the meaning of MiFID II) (equity securities and debt securities other than MMI)
- 2 C2 - Money-market instruments (MMI) (within the meaning of point (17) of Article 4 (1) of MiFID II)
- 3 C3 - Units in collective investment undertakings (CIU)
- 4 C4 - Financial contracts on financial underlyings that may be settled physically or in cash (see point C4 of Annex I of MiFID II)
- 5 C5 - Financial contracts on commodities that must be settled in cash or may be settled in cash at the option of one of the parties (see point C5 of Annex I of MiFID II)
- 6 C6 - Financial contracts on commodities that can be physically settled and traded on a regulated market, a MTF or an OTF (see point C6 of Annex I of MiFID II)
- 7 C7 - Financial contracts on commodities that can be physically settled not otherwise mentioned in C6 and not being for commercial purposes (see point C7 of Annex I of MiFID II)
- 8 C8 - Derivative instruments for the transfer of credit risk
- 9 C9 - Financial contracts for differences
- 10

	C10 - Financial contracts on non-physical underlyings or not otherwise mentioned above (see point C10 of Annex I of MiFID II)
11	C11 - Emission allowances (see point C11 of Annex I of MiFID II)

Last updated : 11 March 2020